

Restore Louisiana

SBA Phase I & II Summary Update

September 10, 2019

I. Post-closing Phase I Applications: 242

(As of the eGrants Production Promotion on 7/8/19)

Post-closing Applications by Requisition Status & SBA Amount

Requisition Status	Count	SBA Amount
SBA Adjustment Paid	233	\$7,570,446.00
SBA Requisition Approved by OCD	7	\$251,500.00
SBA Requisition Created	1	\$13,000.00
No Payment Necessary- Post Solution 2 Only Update	3	-
Pullback Requested for 2SBA Payment (Partial Draw in Phase I)	1	\$30,800.00
SBA/FIA Adjustment to be Processed under New Guidance	1	\$31,800.00
Grand Total	246	\$7,897,546.00

Note: Four applications were added to the original 242 Phase I Requisition tracking population that were previously a part of the SBA third party data NOT FOUND per eGrants. The appropriate updates have been made in eGrants and adjustments have been paid for these four applicants.

Phase I Returns from Recapture

Returns from Recapture	Count	SBA Amount
Recapture Return - No Payment Necessary	2	\$0.00
Recapture Return - SBA Requisition Approved by OCD	1	\$12,895.24
Grand Total	3	\$12,895.24

Financial Management Team Note: Three applications were added to the original 242 Phase I Requisition tracking population that were in Recapture status with \$0 SBA Disbursement. Two will not require an adjustment payment because the file reverted back to the closed award (which did not include SBA). One will receive a partial payment due to other adjustments to the file and the requisition has been approved by OCD.

Status of Phase I SBA Adjustments Paid

SBA Adjustment Checks Cleared	Count	Amount
Yes	226	\$7,329,046.00
No	7	\$241,400.00
Grand Total	233	\$7,570,446.00

II. Post-closing Phase II Applications: 692

(As of the eGrants Production Promotion on 8/18/19)

Post-closing Applications by Requisition Status & SBA Amount

Requisition Status	Count	SBA Amount
SBA Adjustment Paid	615	\$8,107,216.78
Solution 2 Homeowner Responsibility - Helpdesk Ticket Submitted	73	\$1,260,100.00
Solution 1 Deferred Escrow - Hold	2	\$17,800.00
SBA Requisition Approved by OCD	1	\$13,800.00
Hold Pending DCO and Award Recalculation	1	\$13,800.00
Grand Total	692	\$9,412,716.78

Solution 1 Deferred Escrow Hold – Outreach is being performed to these homeowners to select one of two options:

- 1) To keep the deferred scope and reduce their SBA payment by that amount. Or
- 2) To escrow the funds now and receive the full SBA adjustment amount.

Phase II Returns from Recapture

Returns from Recapture	Count	SBA Amount
Recapture Return - No Payment Necessary	1	\$0.00
Grand Total	1	\$0.00

Status of Phase II SBA Adjustments Paid

SBA Adjustment Checks Cleared	Count	Amount
Yes	487	\$6,740,454.28
No	128	\$1,366,762.50
Grand Total	615	\$8,107,216.78

III. Pre-closing Phase I Applications: 1,620

(As of the eGrants Production Promotion on 7/8/19)

Current Status & Previous SBA Amount in eGrants

Current Status & Previous SBA Amount	Count	Previous SBA Amount
Pre-Award	109	\$5,610,600.00
Positive Award	160	\$8,867,000.00
Zero Award (\$+)	0	\$0.00
Zero Award (\$0)	610	\$36,535,823.96
Award Accepted	131	\$6,993,674.49
Post-closing	308	\$16,717,173.78
Ineligible	165	\$7,745,408.63
Withdrawn	128	\$5,961,743.66
Solution 4	6	\$317,400.00
Recapture	3	\$129,500.00
Grand Total	1620	\$88,878,324.52

Snapshot Comparison – Week over Week Disposition Summary

Application Status	7/8/19	7/16/19	7/23/19	7/30/19	8/6/19	8/13/19	8/20/19	8/27/19	9/3/19	9/10/19
Pre-Award	1	90	74	126	111	102	86	71	137	109
Positive Award	70	120	152	235	218	196	173	146	162	160
Zero Award (\$+)	629	477	414	172	132	115	103	104	2	0
Zero Award (\$0)	620	620	611	613	612	611	611	611	610	610
Award Accepted	14	24	72	165	220	162	161	155	141	131
Post-closing	0	0	2	11	32	137	186	231	266	308
Ineligible	150	153	159	162	161	164	165	165	165	165
Withdrawn	125	125	125	125	125	124	126	128	128	128
Solution 4	6	6	6	6	6	6	6	6	6	6
Recapture	5	5	5	5	3	3	3	3	3	3
Grand Total	1620	1620	1620	1620	1620	1620	1620	1620	1620	1620

Application Status	Week 1	Week 2	Week 3	Week 4	Week 5	Week 6	Week 7	Week 8	Week 9
Pre-Award	▲ 89	▼ -16	▲ 52	▼ -15	▼ -9	▼ -16	▼ -15	▲ 66	▼ -28
Positive Award	▲ 50	▲ 32	▲ 83	▼ -17	▼ -22	▼ -23	▼ -27	▲ 16	▼ -2
Zero Award (\$+)	▼ -152	▼ -63	▼ -242	▼ -40	▼ -17	▼ -12	▲ 1	▼ -102	▼ -2
Zero Award (\$0)	■ 0	▼ -9	▲ 2	▼ -1	▼ -1	■ 0	■ 0	▼ -1	■ 0
Award Accepted	▲ 10	▲ 48	▲ 93	▲ 55	▼ -58	▼ -1	▼ -6	▼ -14	▼ -10
Post-closing	■ 0	▲ 2	▲ 9	▲ 21	▲ 105	▲ 49	▲ 45	▲ 35	▲ 42
Ineligible	▲ 3	▲ 6	▲ 3	▼ -1	▲ 3	▲ 1	■ 0	■ 0	■ 0
Withdrawn	■ 0	■ 0	■ 0	■ 0	▼ -1	▲ 2	▲ 2	■ 0	■ 0
Solution 4	■ 0	■ 0	■ 0	■ 0	■ 0	■ 0	■ 0	■ 0	■ 0
Recapture	■ 0	■ 0	■ 0	▼ -2	■ 0	■ 0	■ 0	■ 0	■ 0
Grand Total	0	0	0	0	0	0	0	0	0

Current Status Aging Summary

Current Status Aging (days)	0-14	15-29	30-44	45-59	60-74	75-90	>90	Total
Zero Award		5	2	5	2		596	610
Ineligible		4	4	12	1		144	165
Funds Disbursed	60	64	4					128
Withdrawn		4				1	123	128
Award Acknowledgement	35	11	15	27	6		6	100
Construction Begin/Resume	67	15	1					83
Level I QC In Progress	56	6	3	9				74
Contractor Selection	25	21	14	4			3	67
Ready For Closing	49	13		1			1	64
Award Consultation	9	12	10	6			23	60
Requisition Approved By Project Manager	26							26
Requisition Approved By OCD	26							26
Inspection Scheduled	22	1						23
Payment Requested	17	1	1					19
Verification In Progress	13	1						14
Readiness Review	8	1	2					11
Second QC Award Review	7							7
Solution 4					1		5	6
Recapture In Progress					1	1	1	3
Resolution Hold	1		1					2
Requisition Created	1							1
Construction Hold	1							1
Solution Change	1							1
Notice To Proceed	1							1
Total	425	159	57	64	11	2	902	1620

Status Change Data Details Summary

Previous Status & Current Disposition	Count
<input checked="" type="checkbox"/> Zero Award	1249
Zero Award	608
Funds Disbursed	123
Award Acknowledgement	93
Level I QC In Progress	73
Construction Begin/Resume	68
Ready For Closing	58
Contractor Selection	53
Award Consultation	35
Requisition Approved By Project Manager	24
Requisition Approved By OCD	23
Payment Requested	18
Inspection Scheduled	18
Ineligible	17
Verification In Progress	14
Readiness Review	10
Second QC Award Review In Progress	7
Withdrawn	3
Resolution Hold	2
Solution Change	1
Notice To Proceed	1

Status Change Data Details Summary (continued)

Previous Status & Current Disposition (Continued)	Count
Ineligible	150
Ineligible	148
Funds Disbursed	1
Readiness Review	1
Withdrawn	125
Withdrawn	124
Zero Award	1
Award Consultation	59
Award Consultation	25
Construction Begin/Resume	11
Contractor Selection	6
Inspection Scheduled	3
Ready For Closing	3
Requisition Approved By OCD	3
Funds Disbursed	2
Construction Hold	1
Payment Requested	1
Level I QC In Progress	1
Award Acknowledgement	1
Requisition Approved By Project Manager	1
Withdrawn	1
Contractor Selection	11
Contractor Selection	4
Construction Begin/Resume	3
Requisition Approved By Project Manager	1
Ready For Closing	1
Requisition Created	1
Zero Award	1
Award Acknowledgement	11
Award Acknowledgement	6
Contractor Selection	3
Inspection Scheduled	1
Ready For Closing	1
Solution 4	6
Solution 4	6
Recapture In Progress	5
Recapture In Progress	3
Funds Disbursed	2
Ready For Closing	3
Ready For Closing	1
Inspection Scheduled	1
Construction Begin/Resume	1
Level I QC In Progress	1
Contractor Selection	1
Grand Total	1620

IV. Pre-closing Phase II Applications: 4223

(As of the eGrants Production Promotion on 8/18/19)

Current Status & SBA Amount Reduced in eGrants

Current Status & SBA Amount Reduced	Count	SBA Amount Reduced in eGp
Pre-Award	907	\$31,781,229.02
Positive Award	506	\$16,104,695.40
Zero Award (\$+)	2	\$67,200.00
Zero Award (\$0)	1987	\$78,022,277.41
Award Accepted	209	\$7,275,747.00
Post-closing	65	\$2,010,400.00
Ineligible	324	\$36,410,079.99
Withdrawn	204	\$9,791,604.00
Solution 4	18	\$553,100.00
Recapture	1	\$51,100.00
Grand Total	4223	\$182,067,432.82

Snapshot Comparison – Week over Week Disposition Summary

Application Status	8/19/19	8/27/19	9/3/19	9/10/19
Pre-Award	1	60	1043	907
Positive Award	170	392	466	506
Zero Award (\$+)	0	1127	16	2
Zero Award (\$0)	3468	1992	1988	1987
Award Accepted	41	105	144	209
Post-closing	0	3	23	65
Ineligible	317	320	319	324
Withdrawn	206	205	205	204
Solution 4	18	18	18	18
Recapture	2	1	1	1
Grand Total	4223	4223	4223	4223

Application Status	Week 1	Week 2	Week 3
Pre-Award	▲ 59	▲ 983	▼ -136
Positive Award	▲ 222	▲ 74	▲ 40
Zero Award (\$+)	▲ 1127	▼ -1111	▼ -14
Zero Award (\$0)	▼ -1476	▼ -4	▼ -1
Award Accepted	▲ 64	▲ 39	▲ 65
Post-closing	▲ 3	▲ 20	▲ 42
Ineligible	▲ 3	▼ -1	▲ 5
Withdrawn	▼ -1	▬ 0	▼ -1
Solution 4	▬ 0	▬ 0	▬ 0
Recapture	▼ -1	▬ 0	▬ 0
Grand Total	0	0	0

Current Status Aging Summary

Current Status Aging (days)	0-14	15-29	30-44	45-59	60-74	75-90	>90	Total
Zero Award	12	18	12	8	9	9	1921	1989
Verification In Progress	749							749
Ineligible	7	4				3	310	324
Award Acknowledgement	258	48		1			12	319
Withdrawn		2	1	2	1		198	204
Award Consultation	42	36	1		1		105	185
Ready For Closing	105	7	4				8	124
Contractor Selection	66	8	1		1		9	85
Second QC Award Review In Progress	74							74
Level I QC In Progress	49							49
Requisition Approved By Project Manager	37							37
Readiness Review	25							25
Solution 4							18	18
Requisition Approved By OCD	14							14
Payment Requested	8							8
First QC Award Review In Progress	7							7
Inspection Scheduled	3							3
Resolution Hold	2	1						3
Construction Begin/Resume	3							3
Award Appeals In Progress	2							2
Recapture In Progress						1		1
Total	1463	124	19	11	12	13	2581	4223

Status Change Data Details Summary

Previous Status & Current Disposition	Count
Zero Award	3468
Zero Award	1985
Verification In Progress	745
Award Acknowledgement	304
Ready For Closing	91
Second QC Award Review In Progress	74
Award Consultation	72
Contractor Selection	55
Level I QC In Progress	48
Requisition Approved By Project Manager	30
Readiness Review	25
Ineligible	10
Requisition Approved By OCD	9
First QC Award Review In Progress	7
Payment Requested	6
Resolution Hold	3
Inspection Scheduled	2
Award Appeals In Progress	1
Construction Begin/Resume	1
Ineligible	317
Ineligible	314
Award Consultation	2
Award Appeals In Progress	1

Status Change Data Details Summary (continued)

Previous Status & Current Disposition	Count
Withdrawn	206
Withdrawn	204
Ready For Closing	1
Requisition Approved By Project Manager	1
Award Consultation	143
Award Consultation	110
Contractor Selection	13
Ready For Closing	10
Verification In Progress	4
Requisition Approved By Project Manager	3
Payment Requested	1
Construction Begin/Resume	1
Level I QC In Progress	1
Ready For Closing	21
Ready For Closing	13
Requisition Approved By OCD	4
Requisition Approved By Project Manager	2
Contractor Selection	1
Construction Begin/Resume	1
Contractor Selection	20
Contractor Selection	13
Ready For Closing	5
Requisition Approved By Project Manager	1
Requisition Approved By OCD	1
Solution 4	18
Solution 4	18
Award Acknowledgement	18
Award Acknowledgement	13
Contractor Selection	3
Ready For Closing	2
Award Appeals In Progress	9
Zero Award	4
Ready For Closing	2
Award Acknowledgement	1
Award Consultation	1
Payment Requested	1
Recapture In Progress	2
Recapture In Progress	1
Inspection Scheduled	1
First QC Award Review In Progress	1
Award Acknowledgement	1
Grand Total	4223

V. SBA Phase II Exclusions Summary

(As of the 8/16/19 SBA Adjustments Option 5 Phase 2 Deployment Plan provided by GCR)

SBA Adjustments Option 5 - Phase 2 Deployment Plan (pages 9-10)

b. Provide list of Applications that will be Excluded from these data updates per Program:

i. Program Exclusion Total 140

1. **18 DOB Offset** listed in *Deployment Plan Export – “DOB Offset”*
2. **21 Duplicate SBA Records** listed in *Deployment Plan Export – “Duplicate SBA Records”*
3. **13 Cohn Reznick Question** listed in *Deployment Plan Export – “Cohn Reznick Question”*
 - a. *Account ID 168506 Application ID 1700084674 was identified in Duplicate SBA Records, therefore already excluded*
 - b. *Account ID 105052 Application ID 1700030622 was NOT in the affected population, therefore no need to exclude*
 - c. *Account ID 192884 Application ID 1700064727 was NOT in the affected population, therefore no need to exclude*
4. **88 Hazard Mitigation/Elevation** listed in *Deployment Plan Export – “Hazard Mitigation_Elevation”*

c. Provide list of Contractor Selection Resolution/Construction|Change Order Exception Applications for Construction/Cohn Reznick to coordinate with GCR for necessary updates once Approved or Cancelled

Ideally, Construction/Cohn Reznick can coordinate ahead of Data Updates in PROD to ensure there are no exceptions by Approving or Hold/Cancelling out any pending Requests

- i. **0 Applications Contractor Selection Resolution Requested (CONSELRREQ)**
- ii. **0 Applications Contractor Selection Resolution (CONSELRES)**
- iii. **2 Applications Construction Change Order Requested (COREQUEST) listed in Deployment Plan Export – “COREQUEST”**
 1. *Account ID 238098 Application ID 1800109827 Construction Change Order Requested*
 2. *Account ID 139274 Application ID 1700086107 Construction Change Order Requested*
- iv. **1 Application Construction Change Order Resolution (CORESOLVE)**
 1. *Account ID 159484 Application ID 1700090213 Construction Change Order Resolution was already excluded per Program Exclusions*

142 Exclusions results in Revised Population 7,329

Status Update by Exclusion Type

DOB Offset (Reallocation for Receipt Reviews): 18

VOB performing manual updates to those in editable statuses: 6

- Once updates are complete, details will be communicated for updates to the HUD reporting tables.

VOB submitting Helpdesk Ticket for those in non-editable statuses: 12

Duplicate SBA Records: 21

DOB Update Needed – VOB Submitted via Helpdesk Ticket: 12

No Update Needed: 9

Cohn Reznick Question: 13

VOB provided review results along with corresponding SBA Loan Numbers to Cohn Reznick and OCD on 9/9/19.

DOB Updates Completed by VOB: 3

DOB Updates Completed by GCR: 1

SBA Adjustment Needed – VOB Submitted via Helpdesk Ticket: 8

DOB Update Needed – VOB Submitted via Helpdesk Ticket: 1

Hazard Mitigation/Elevation (per SBA Dataset): 88

These 88 applicants had a positive Total Disbursed Amount in the SBA Dataset.

The Reporting team is working with the Construction team to identify active homeowners with eligible Elevation scope for further review.

Change Order Status: 2

SBA Adjustment Needed – Submitting via Helpdesk Ticket: 2

Deployment Plan: “Construction/Cohn Reznick to coordinate with GCR for necessary updates once Approved or Cancelled”